

EXPRESS MAIL MAILING LABEL: EE295471970US

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Patent Application of LU et al.

S/N: n/a

Filed: November 30, 2001

For: "Cap Assembly and Optical Fiber Cooling Process"

Group No.: n/a

Examiner: n/a

Atty. Dkt.: M01A239

Assistant Commissioner for Patents

Washington DC 20231



**INFORMATION DISCLOSURE STATEMENT (37 CFR 1.97)**

The Examiner's attention is directed to the documents identified on the attached Form PTO-1449, "Information Disclosure Statement by Applicant." A copy of each document is enclosed.

Please consider all the listed documents and list them on the cover sheet of any patents to issue from the subject application.

The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the application other than a Continued Prosecution Application under Section 1.53(d) or within three months of the date of entry of the National Phase of an international application or before the mailing of a first Office Action on the merits or before the mailing of a first Office Action after the filing of a Request for Continued Examination under Section 1.114.

In accordance with 37 CFR 1.97(b), no additional fee is due. As a precaution, the Commissioner is hereby authorized to charge to Deposit Account No. 02-2865 any fee required by this submission.

Very truly yours,

A handwritten signature in black ink, appearing to read "Philip H. Von Neida", is written over the typed name.

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(908) 771-6402

The BOC Group, Inc.  
Intellectual Property Dept.  
100 Mountain Avenue  
Murray Hill, NJ 07974

Attachments  
Form PTO-1449  
Cited References

FORM PTO-1449  
(Rev. 2-32)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

SERIAL NO.

M01A239

n/a

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(Use several sheets if necessary)

APPLICANT

LU et al.

FILING DATE

November 30, 2001

GROUP

n/a



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	4 514 205	4/30/85	Darcangelo et al.			
	2.	4 594 088	6/10/86	Paek et al.			
	3.	4 664 689	5/12/87	Davis			
	4.	4 838 918	6/13/89	Vaughan et al.			
	5.	4 894 078	1/16/90	Takimoto et al.			
	6.	4 913 715	4/3/90	Jochem et al.			
	7.	5 160 359	11/3/92	Strackenbrock et al.			
	8.	5 314 515	5/24/94	Cain			
	9.	5 377 491	1/3/95	Schulte			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	10.	5 452 583	9/26/95	Schulte			
	11.	5 897 682	4/27/99	Koaizawa et al.			
	12.	4 437 870	3/20/84	Miller			
	13.	6 092 391	7/25/00	Chludzinski			
	14.	6 125 638	10/3/00	Ji et al.			
	15.	6 142 343	11/7/00	Wade et al.			
	16.	6 142 393	11/7/00	Kotyk			
	17.	6 223 919 B1	5/1/01	Kuehn			

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